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Applicant

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Klein et al. Group Art Unit 1647

Appl. No. 10/033,350

Filed November 2, 2001

USES OF GDNF AND GDNF For

RECEPTOR

Examiner Unknown and Trademark Office, P.O. Box 2327, August 29, 2002

Arlington, VA 22202, on

I hereby certify that this correspondence and all

marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent

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(Date) Reg. No. 33,055

RESPONSE TO DEPARTMENT OF ENERGY (DOE) NOTICE

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Attention: Licensing and Review

Dear Sir:

A Notice mailed on July 30, 2002 in connection with the above-identified patent application states that the subject matter of this application appears to be "useful in the production or utilization of special nuclear material or atomic energy" as recited in 42 U.S.C. 2182.

Enclosed are four property rights statements, each executed and dated by one of the four inventors named in the present application. The statements confirm that the invention was not made or conceived in the course of, or in connection with, or under terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission, the Energy Research and Development Administration, the Department of Energy, or the National Aeronautics and Space Administration.

Applicants respectfully request the continuance of prosecution of and grant of a patent on this application.

Appl. No.

: 10/033,350

Filed

November 2, 2001

Although no fees are believed to be due, please charge any fees, including any fees for extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Ququut 29, 2002

By:

Ginger R. Dreger

Registration No. 33,055 Attorney of Record

Customer No. 20,995

(415) 954-4114

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(We) Robert D. Klein	ectaration, a sword pocument is equally acceptable.
citizens of United States of America	CA 94301 (0)2
residing at 1044 Webster Street, Palo Alto,	CA 94301 (0)
declare:	4 Da
That I (we) made and conceived the invention described a	and daimed in patent and other
	Inited States of America on November 2, 2001
(Check and complete either I ar II below)	(Check III and/or IV balow as appropriate)
[1]. (Far Inventors Employed by an Organization) That	That to the best of my (our) knowledge and belief:
I (we) made and conceived this invention while employed by	Ill. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.  —AND/OR—
That to the best of my (our) knowledge and belief (and/or) based upon information provided by	ill IV. The invention was not made (conceived or first actually reduced to practice) under not is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.
☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are	to a company of the second sec
and that all statements made on information and belief are be with the knowledge that willful false statements and the like so	ents made herein of his or her (their) own knowledge are true relieved to be true and further that these statements are made made are punishable by fine or imprisonment, or both, under it such willful false statements may jeopardize the validity of
Inventor's Signature:	
Post Office Address: 1044 Webster Street, P	alo Alto, CA 94301
Date: August 3002	
Inventor's Signature:	-
Post Office Address:	
d21./15	*
Date: 8/CU/0 C	•

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	nited States of America on <u>November 2, 2001</u>
(Check and complete either I or II below)  El. (For Inventors Employed by an Organization) That I (we) made and conceived this Invention while employed by	(Check III and/or IV below as appropriate)  That to the best of my (our) knowledge and belief:  III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.  —AND/OR—
That to the best of my (our) knowledge and belief (and/or) based upon information provided by	IV. The Invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.
III. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are	
and that all statements made on information and belief are bi with the knowledge that willful false statments and the like so	ints made herein of his or her (their) own knowledge are true elieved to be true and further that these statements are made made are punishable by fine or imprisonment, or both, under t such willful false statements may jeopardize the validity of
Inventor's Signature:	
Post Office Address: 880 Blandford Boulevard	Redwood City, CA 94062
Date: August /2, 2002 Inventor's Signature: ////////////////////////////////////	ronci
Post Office Address:	
Date;	· •

The following is an example of an acceptable property rigingular suitable for situations in which NO Agency funds or other of the invention. While this example is in the form of a decident of the form of the fo	hts statement. Statements of this type are, of course, only considerations were involved in the making or conception eclaration, a sworn document is equally acceptable.
(We) Arnon Rosenthal	SEO
citizens of United States of America	WOOD & C
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declare:	
That I (we) made and conceived the invention described a	no claimed in patent application:
Senal Number 10/033,350 filed in the Utitled USES OF GDNF AND GDNF RECEPTOR	nited States of America on November 2, 2001
(Check and complete either I or II below)	(Check III and/or IV below as appropriate)
[1] (For Inventors Employed by an Organization) That	That to the best of my (our) knowledge and belief:
I (we) made and conceived this Invention while employed by	III. The invention was not made or conceived in the
the invention is related to the work I am (we are) employed	course of, or in connection with, or under the terms of any
to perform and was made within the scope of my (our)	contract, subcontract or arrangement entered into with or
employment duties; That the invention was made during	for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and
working hours and with the use of facilities, equipment, materials, funds, information and services of	Development Administration or the Department of En-
Genetech, Inc Other relevant	ergy.
facts are (name of employer)	
	AND/OR
That to the best of my (our) knowledge and belief (and/or)	☑ IV.The invention was not made (conceived or first ac-
based upon information provided by	tually reduced to practice) under not is there any relation- ship of the invention to the performance of any work under
ot;	any contract of the National Aeronautics and Space Ad-
OR	ministration.
II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are	
and that all statements made on information and belief are b with the knowledge that willful false statments and the like so	ents made herein of his or her (their) own knowledge are true felieved to be true and further that these statements are made and made are punishable by fine or imprisonment, or both, under it such willful false statements may jeopardize the validity of
Inventor's Signature:	to the co
Post Office Address: 40 Tulip Court, Burlin	game, CA 94010 9406 2
Date: August 21 , 2002	
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KNOBBE MARTENS et al - CENENTECH

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Ditters of United States of America esciding at 8 Bolly Street. Pawcatuk. CT 06349  Secial Number 10/033,350 field in the United States of America on November 2, 2001  Mal. (For lowenturs Employed by an Organization) That I (we) made and conceived this invention was made turing to perform and was made within the scope of my (our) confidence of the Invention is related to the work! am (we are) employed performed turing. That it is invention was made turing working hours and with the use of facilities, equipment, materials, funds, information and services of Generatech. Inc. Other rolevant.  That to the best of my (our) knowledge and belief (and/or) based upon information provided by 10 perform and was made on my (our) working hours and with the use of facilities, equipment.  That to the best of my (our) knowledge and belief (and/or) based upon information provided by 10 performance of any our target with my (our) own tracklies, equipment and oncovered this invention or my (our) materials, funds, information and services. That it were the performance of any work under any contract of the National Aeronautics and Space Administration.  That to the best of my (our) knowledge and belief (and/or) based upon information provided by 10 performance of any work under any contract of the National Aeronautics and Space Administration or the Department of Engly of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.  The undersigned inventor(s) declare turther that all statements made herein of his or her (their) own knowledge are trund that all statements made on information and belief are believed to be true and further that these statements are made in the knowledge that willful false statements and the like so made are purishtable by fine or imprisonment, or both, or application or any paterit sixting thereon.  The application or any paterit sixting thereon.		ectaration, a sworn document is equally acceptable.
residing at 8 801ly Street, Pawcatuk, CT 06349  declare:  That I (we) made and conceived the invention described and claimed in patent application:  Serial Number 10/033,350 filed in the United States of America on November 2, 2001  ideal USES OF CDNF AND CDNF RECEPTOR  (Check and complete either I or II below)  (Check III and/or IV below as appropriate)  All. (For Inventors Employed by an Organization) That (we) made and conceived this invention while employed by Ceneurech, Inc.  That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) amployment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of Ceneurech, Inc.  Ceneurech, Inc.  Check III and/or IV below as appropriate)  That to the best of my (our) knowledge and belief (and/or) based upon information provided by contract, subcontract or arrangement entered into with or the benefit of the United States Alomic Energy Commission or its successors: Energy Research and Conceived this invention provided by contract of the National Aeronautics and Space Administration or the Department of Energy on the contract of the National Aeronautics and Space Administration and services. Other relevant facts are  The undersigned inventor(s) declare turther that all statements made herein of his or her (their) own knowledge are turn of that all statements made on any contract of the National Aeronautics are made in the invention or any patient issuing thereon.  The contract of the National Aeronautics are made in the invention or any patient issuing thereon.  The contract of the National Aeronautics are made in the invention or any patient issuing thereon.  The contract of the National Aeronautics are made in the invention or any patient issuing thereon.  The contract of the National Aeronautics are made in the invention or any patient issuing thereon.		Was a second
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Development Administration or the Department of Encenteeth, Inc.  Cother relevant  Roots are  Development Administration or the Department of Encenteeth, Inc.  Cother relevant  AND/OR—  If I (For Self Employed Inventors) That I (we) made and conceived this invention or my (our) own time using the undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true of the undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true of the undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true of the undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true of the undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true of the undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true of the undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true of the undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true of the undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true of the undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true of the undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true of the undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true of the undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true of the undersigned inventor(s) declared to be true and further that herein of his or her (their) own knowledge are true of the undersigned inve	employment duties; That the invention was made during	for the benefit of the United States Atomic Energy
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